

AUGUST 21, 2002

HOFFMANN & BARON, LLP
DANIEL A. SCOLA, JR.
6900 JERICO TURNPIKE
SYOSSET, NY 11791

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov



102128843A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/11/2002

REEL/FRAME: 013002/0285
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YANG, ROBERT K.

DOC DATE: 04/05/2002

ASSIGNOR:

FUISZ, RICHARD C.

DOC DATE: 04/04/2002

ASSIGNOR:

MYERS, GARY L.

DOC DATE: 04/05/2002

ASSIGNOR:

FUISZ, JOSEPH M.

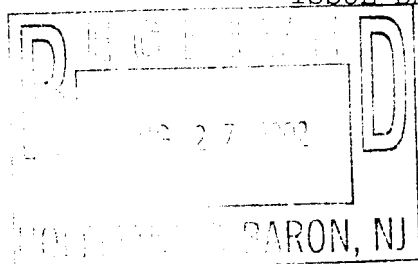
DOC DATE: 04/04/2002

ASSIGNEE:

KOSMOS PHARMA
1142 WALKER ROAD
GREAT FALLS, VIRGINIA 22066

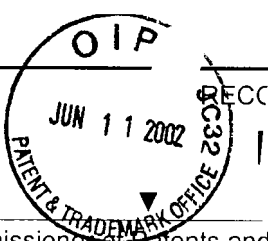
SERIAL NUMBER: 10074272
PATENT NUMBER:

FILING DATE: 02/14/2002
ISSUE DATE:



013002/0285 PAGE 2

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



06-20-2002
102128843

cket No.: 1199-4

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks

and original documents or copy thereof.

1. Name of conveying party(ies):

Robert K. Yang
Richard C. Fuisz
Gary L. Myers
Joseph M. Fuisz

6-11-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **KOSMOS PHARMA**

Internal Address:

Street Address: **1142 Walker Road**

City: **Great Falls**

State: **VA**

ZIP: **22066**

Execution Date: **April 4, 2002 and April 5, 2002**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/074,272

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel A. Scola, Jr.**

Internal Address: **Hoffmann & Baron, LLP**

Street Address: **6900 Jericho Turnpike**

06/19/2002 DBYRME 00000083 10074272

01 FC:581

40.00 OP

City: **Syosset**

State: **NY**

ZIP: **11791**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

09-2461

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna-Lisa Gallo

Name of Person Signing

Signature

June 4, 2002

Date

Total number of pages including cover sheet, attachments, and document:

7

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Robert K. Yang

Name

138-10 Franklin Ave., Apt. #2C

Address

Flushing, NY 11355USA

Nationality

Richard C. Fuisz

Name

1287 Ballantrae Farm Drive

Address

McLean, VA 22101USA

Nationality

Gary L. Myers

Name

908 Colfax Avenue

Address

Kingsport, TN 37660USA

Nationality

Joseph M. Fuisz

Name

5700 Cricket Place

Address

McLean, VA 22101USA

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA

(Type or print name of ASSIGNEE)

1142 Walker Road

Address

Great Falls, VA 22066

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

☒
☐

the entire right, title and interest

an undivided _____ percent (____%) interest

for the United States and its territorial possessions

☒

and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND
DRUG DELIVERY SYSTEMS MADE THEREFROM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
- (d) ☒ U.S. application Serial No. 10/074,272 filed on January 22, 2002
- (e) ☐ International application No. PCT/_____/_____
- (f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X April 5, 2002
Date of signing

X Robert K. Yang
Robert K. Yang

Date: X April 4, 2002
Date of signing

X Richard C. Fuisz
Richard C. Fuisz

Date: X April 5, 2002
Date of signing

X Gary L. Myers
Gary L. Myers

Date: X April 4, 2002
Date of signing

X Joseph M. Fuisz
Joseph M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF *Virginia*
SS
COUNTY OF *Fauquier*

On this *4* day of *April*, ~~2002~~, before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Conrad Jacob Sartorius
Notary Public

Comm Exp 10/31/02

STATE OF *Virginia*
SS
COUNTY OF *Fauquier*

On this *4* day of *April*, ~~2002~~, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Conrad Jacob Sartorius
Notary Public
Comm Exp 10/31/02

STATE OF... NEW YORK

COUNTY OF... Queens

On this ... 6th ... day of ... MAY, 2002, before me personally appeared Robert Yang,
to me personally known and known to me to be the person who signed the foregoing
Assignment and acknowledged the signing of same as her free act and deed.

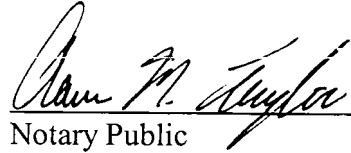
Robert I. Katz
Notary Public

ROBERT I. KATZ
NOTARY PUBLIC, STATE OF NEW YORK
QUALIFIED IN NASSAU COUNTY
NO: 01KA6062165
CERTIFIED IN QUEENS
COMMISSION EXPIRES JULY 30 2005

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*

Yang

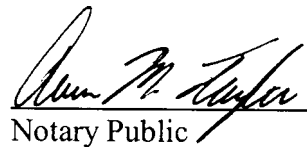
On this *5th* day of *April*, *2002*, before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.


Notary Public

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*

RCF


On this *5th* day of *April*, *2002*, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

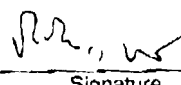

Notary Public

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*.

Myers

On this *5th* day of *April*, *2002*, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.


Notary Public

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof				
1. Name of conveying party(ies): Kosmos Pharma Limited Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Monosol Rx, LLC</u> Internal Address: _____ Street Address: <u>6560 Melton Road</u> City: <u>Portage</u> State: <u>Indiana</u> Zip: <u>46368</u> Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment Agreement <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>January 23, 2004</u>		4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: _____ A. Patent Application No.(s): <u>10/074,272</u> B. Patent No.(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>R. Ross Viguet</u> <u>FULBRIGHT & JAWORSKI L.L.P.</u> Internal Address: <u>Atty. Dkt.: 65436-10308504</u> Street Address: <u>2200 Ross Avenue, Suite 2800</u> City: <u>Dallas</u> State: <u>TX</u> Zip: <u>75201-2784</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: <u>06-2380</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE				
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <u>R. Ross Viguet</u> Name of Person Signing </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: right;"> <u>February 6, 2004</u> Date </div> </div> Total number of pages including cover sheet, attachments, and documents: <u>6</u>				
<div style="text-align: center;"> Recordation Form Cover Sheet I hereby certify that this correspondence is being sent via facsimile 703 306-5859 to the US Patent and Trademark Office, Patent and Trademark Assignment System on the date shown below. Dated: February 6, 2004 Signature: <u>Rita Carr</u> (Rita Carr) </div>				

CH \$40.00 062380 10074272

Assignment Agreement

WHEREAS, Kosmos Pharma Limited, a Delaware corporation, (the "Seller") and Monosol Rx, LLC, a Delaware limited liability company, (the "Buyer") have executed a certain ASSET PURCHASE AND SALE AGREEMENT dated January 22, 2004 whereby the Buyer agreed to sell, assign, convey, transfer and deliver to the Buyer, and the Buyer agreed to purchase, acquire and take assignment and delivery of, all of the right, title and interest of the Seller in and to all intellectual property of every kind and nature, including all inventions, information, data, samples, formulae, specifications, plans, drawings, blueprints, laboratory and engineering notebooks, compositions, processes, designs, technology, know-how, confidential information and trade secrets (whether or not patentable or reduced to practice), confidential or proprietary technical and business information, computer software, domain names, United States and foreign patents, patent applications (including the patent applications listed in Schedule A, and petty patents (including continuations, continuations-in-part, divisions, reissues, re-examinations, extensions, renewals, and patents and patent applications terminally tied to any such patent or patent application), registered and unregistered trade names, brand names, trademarks, service names, service marks, logos and designs (and applications for registration of the same), all goodwill symbolized by or associated with any of them, copyrights and copyright registrations (and applications for the same), extensions, renewals of United States and foreign registrations and applications to register copyrights, technical manuals and documentation made or used in connection with any of the foregoing (the "Intellectual Property") of the Seller listed on Schedule A; all other intellectual property used in the Business (as that term is defined in the ASSET PURCHASE AND SALE AGREEMENT) and any licenses or intellectual property rights thereto; all goodwill and going concern value, if any, relating to the Business; and all rights arising under any of the foregoing Intellectual Property, including the right to collect damages for past infringement.

WHEREAS, this document is intended to be used solely for the purpose of recording the assignment of the Intellectual Property set forth in said ASSET PURCHASE AND SALE AGREEMENT. The actual terms of the grant of rights, as well as a full description of the property covered by the ASSET PURCHASE AND SALE AGREEMENT, and the respective rights and obligations of the parties, are contained in said ASSET PURCHASE AND SALE AGREEMENT and nothing in this document shall change, or in any manner modify, the terms, conditions, rights or obligations as set forth therein.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Seller does hereby grant to the Buyer all of the right, title and interest of the Seller in and to the intellectual property.

IN WITNESS WHEREOF, the Parties have executed and delivered this Agreement on this 23rd day of January, 2004.

SELLING PARTIES:

KOSMOS PHARMA LIMITED

By: Joseph M. Furr
Name: Joseph M. Furr
Title: VP - General Counsel

BUYING PARTIES:

MONOSOL RX, LLC

By: MONOSOL RX GENPAR,
a Texas limited partnership

By: BRATTON CAPITAL, INC.,
its general partner

By: _____
Name: _____
Title: _____

Schedule A to Assignment Agreement

Patent Applications

- This file with non-self-aggregating uniform heterogeneity and drug delivery systems made therefrom (also with PCT-International)
 - o Application date: 10/12/01 & 10/11/02
 - o Docket number: 1199-4/1199-4PCT; Serial Nos.: 10/074,272; PCT/US02/32575

REDACTED

02/06/04 14:08 FAX 214 855 8200

FULBRIGHT & JAWORSKI

008

Swallow Easy Patents

REDACTED

15378009.2

-4-

RECORDED: 02/06/2004

PATENT
REEL: 014315 FRAME: 0617



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 10, 2007

PTAS

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500311367A

NICHOLE E. MARTIAK
6900 JERICHO TURNPIKE
HOFFMANN & BARON, LLP
SYOSSET, NY 11791

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/10/2007

REEL/FRAME: 019537/0247
NUMBER OF PAGES: 2

BRIEF: NOTICE
DOCKET NUMBER: 1199-4 RCE (0089)

ASSIGNOR:
MONOSOL RX, LLC

DOC DATE: 07/09/2007

ASSIGNEE:
MONOSOLRX, LLC
6560 MELTON ROAD
PORTAGE, INDIANA 46368

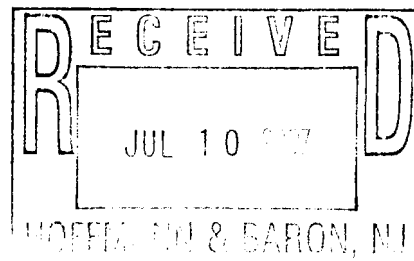
SERIAL NUMBER: 10074272

FILING DATE: 02/14/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM



NICHOLE E. MARTIAK COMPANY: 6900 JERICHO TURNPIKE

019537/0247 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

O:NICHOLE E. MARTIAK COMPA :6900 JERICHO TURNPIKE

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.107/10/2007
500311367

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Notice
CONVEYING PARTY DATA	
Name	Execution Date
MonoSol Rx, LLC	07/09/2007
RECEIVING PARTY DATA	
Name:	MonoSolRx, LLC
Street Address:	6560 Melton Road
City:	Portage
State/Country:	INDIANA
Postal Code:	46368
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10074272
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(973) 331-1700
Email:	nmartiak@hoffmannbaron.com
Correspondent Name:	Nichole E. Martiak
Address Line 1:	6900 Jericho Turnpike
Address Line 2:	HOFFMANN & BARON, LLP
Address Line 4:	Syosset, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	1199-4 RCE (0089)
NAME OF SUBMITTER:	Nichole E. Martiak
Total Attachments: 3 source=1199-4 RCE Notice dated 7-10-07#page1.tif source=1199-4 RCE Notice dated 7-10-07#page2.tif source=1199-4 RCE Notice dated 7-10-07#page3.tif	

CH \$40.00 10074272

NICHOLE E. MARTIAK COMPAI .6900 JERICHO TURNPIKE



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

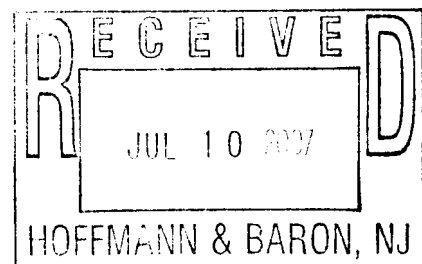
To: Name: NICHOLE E. MARTIAK
 Company: 6900 JERICHO TURNPIKE
 Fax Number: 19733311717
 Voice Phone:

From: Name: ASSIGNMENT SERVICES BRANCH
 Voice Phone: 571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg#	Description
1	Cover Page
2	528.TXT
4	Document 1, Batch 975882



USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Tuesday, July 10, 2007 4:09:58 PM
Number of pages including this cover sheet: 04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellant(s): Yang, et al.

Examiner: Chan, Sing P.

Application: 10/074,272

Group Art Unit: 1734

Filed: February 14, 2002

Docket: 1199-4 RCE

Confirmation No: 4926

Date: July 10, 2007

For: THIN FILM WITH NON-SELF-
AGGREGATING UNIFORM
HETERO-GENEITY AND DRUG
DELIVERY SYSTEMS MADE
THEREFROM

NOTICE

Sir:

Please record the Notice of Recordation Document as indicated herein below.

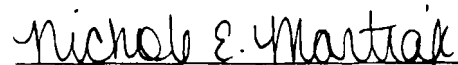
Applicants respectfully requests notice be recorded which states that the assignee of record "Monosol Rx, LLC", is also known as, and does business as, "MonoSolRx, LLC".

In support of this request, a copy of the original notice, which states that MonoSol Rx, LLC is also known as, and does business as, MonoSolRx, LLC, is enclosed herewith.

If any fees are required, please charge same to Deposit Account No. 08-2461.

If there are any comments or questions with respect to this matter, please contact the undersigned attorney.

Respectfully submitted,



Nichole E. Martiak
Registration No. 55,832
Attorney for Applicant(s)

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(973) 331-1700

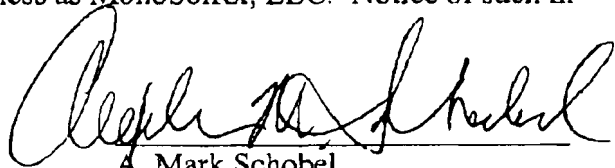
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- Re: U.S. Patent Application No. 10/074,272
THIN FILM WITH NON-SELF-AGGREGATING
UNIFORM HETEROGENEITY AND DRUG DELIVERY
SYSTEMS MADE THEREFROM
Attorney Docket: 1199-4 RCE
- Re: U.S. Patent Application No: 10/357,811
EDIBLE LOW DENSITY HIGH SURFACE AREA DRUG
VEHICLE, METHOD OF MANUFACTURING LOW DENSITY
HIGH SURFACE AREA DRUG VEHICLE
Attorney Docket: 1199-35 RCE II
- Re: U.S. Patent Application No. 10/730,347
THIN FILM DELIVERY SYSTEM FOR VOLATILE
DECONGESTANT
Attorney Docket: 1199-21
- Re: U.S. Patent No. 6,337,083
ORAL DELIVERY METHOD AND COMPOSITION FOR SOLID
MEDICATIONS OR DIETARY SUPPLEMENTS

NOTICE

Notice is hereby given that MonoSol Rx, LLC is also known as, and does business as, MonoSolRx, LLC.

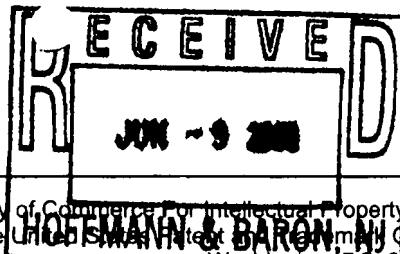
Accordingly, the assignee of record MonoSol Rx, LLC of the above-referenced U.S. Patent and U.S. Patent Applications also does business as MonoSolRx, LLC. Notice of such in the U.S. Assignment records is hereby requested.



A. Mark Schobel
Chief Executive Officer
MonoSol Rx, LLC

Date

7/9/07



MAY 29, 2003

PTAS

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

HOFFMANN & BARON, LLP
DANIEL A. SCOLA, JR.
6900 JERICHO TURNPIKE
SYOSSET, NY 11791

JUN - 6 2003



102350066A

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RECORDATION DATE: 01/21/2003

REEL/FRAME: 013688/0136
NUMBER OF PAGES: 16

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YANG, ROBERT K.

DOC DATE: 12/24/2002

ASSIGNOR:

FUISZ, RICHARD C.

DOC DATE: 12/27/2002

ASSIGNOR:

MYERS, GARY L.

DOC DATE: 01/07/2003

ASSIGNOR:

FUISZ, JOSEPH M.

DOC DATE: 12/27/2002

ASSIGNEE:

KOSMOS PHARMA
1142 WALKER ROAD
GREAT FALLS, VIRGINIA 22066

SERIAL NUMBER: 60397703
PATENT NUMBER:

FILING DATE: 07/22/2002
ISSUE DATE:

013688/0136 PAGE 2

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-28-2003

Do No.: 1199-13P
IEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → →

102350066

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert K. Yang
Richard C. Fuisz
Gary L. Myers
Joseph M. Fuisz

1-21-03

2. Name and address of receiving party(ies):

Name: KOSMOS PHARMA

Internal Address:

Street Address: 1142 Walker Road

City: Great Falls State: VA ZIP: 22066

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 12/24/02; 12/27/02; and 1/7/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

60/397,703

Filed: 07/22/2002

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Internal Address: Hoffmann & Baron, LLP

01/27/2003 6TOM11 00000125 60397703

01 FC:0021

40.00 DP

Street Address: 6900 Jericho Turnpike

City: Syosset

State: NY

ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John S. Sopko

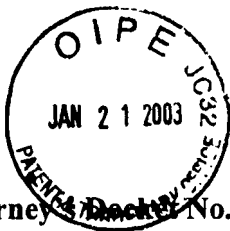
Name of Person Signing

Signature

16

Date

Total number of pages including cover sheet, attachments, and document:



Attorney/Agent No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Robert K. Yang

Name

Richard C. Fuisz

Name

138-10 Franklin Ave., Apt. #2C

Address

1287 Ballantrae Farm Drive

Address

Flushing, NY 11355

USA

Nationality

McLean, VA 22101

USA

Nationality

Gary L. Myers

Name

Joseph M. Fuisz

Name

908 Colfax Avenue

Address

5700 Cricket Place

Address

Kingsport, TN 37660

USA

Nationality

McLean, VA 22101

USA

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA

(Type or print name of ASSIGNEE)

1142 Walker Road

Address

Great Falls, VA 22066

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ International PCT application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002
(e) ☐ International application No. PCT/_____/_____, filed on _____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X
Date of signing

X
Robert K. Yang

Date: X
Date of signing

X
Richard C. Fuisz

Date: X 1/17/03
Date of signing

X
Gary L. Myers

Date: X
Date of signing

X
Joseph M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF *Tennessee*
SS
COUNTY OF *Sullivan*

On this *7th* day of *January*, *2003*, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Sue J. Lott

Notary Public

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

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Robert K. Yang

Name

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138-10 Franklin Ave., Apt. #2C

Address

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McLean, VA 22101

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Nationality

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hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA
(Type or print name of ASSIGNEE)

1142 Walker Road
Address

Great Falls, VA 22066

USA
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
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 ☐ Express Mail label No.: _____, mailed: _____
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(e) ☐ International application No. PCT/_____/_____, filed on _____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X
Date of signing

X
Robert K. Yang

Date: X 12/27/02
Date of signing

X
Richard C. Fuisz

Date: X
Date of signing

X
Gary L. Myers

Date: X Dec 27, 2002
Date of signing

X
Joseph M. Fuisz
Joseph

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☒ Notarization or Legalization Page Added.

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF VIRGINIA
SS
COUNTY OF FAIRFAX

On this 21th day of December 2002, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.



Notary Public

THU TRAN

My commission expires on March 31, 2006

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF VIRGINIA
SS
COUNTY OF FAIRFAX

On this 27th day of December, 2002, before me personally appeared Joseph M. Fuisz ^{OK} to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.



Notary Public

THU TRAN

My commission expires on 03/31/2006

Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

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Robert K. Yang
Name

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Name

138-10 Franklin Ave., Apt. #2C
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Nationality

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McLean, VA 22101

USA
Nationality

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Nationality

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Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA

(Type or print name of ASSIGNEE)

1142 Walker Road

Address

Great Falls, VA 22066

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

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☐ an undivided _____ percent (_____%) interest

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(check and complete (a), (b), (c), (d), (e), (f), or (g))

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(c) ☐ International PCT application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
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 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X 12/24/2002
Date of signing

X Robert K. Yang
Robert K. Yang

Date: X
Date of signing

X
Richard C. Fuisz

Date: X
Date of signing

X
Gary L. Myers

Date: X
Date of signing

X
Joseph M. Fuisz

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☒ Notarization or Legalization Page Added.

STATE OF New York
SS
COUNTY OF Queens

On this 24th day of December, 2005, before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Robert I. Katz
Notary Public

ROBERT I. KATZ
NOTARY PUBLIC, STATE OF NEW YORK
QUALIFIED IN NASSAU COUNTY
NO: 01KA8082105
CERTIFIED IN QUEENS
COMMISSION EXPIRES JULY 30 2008

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

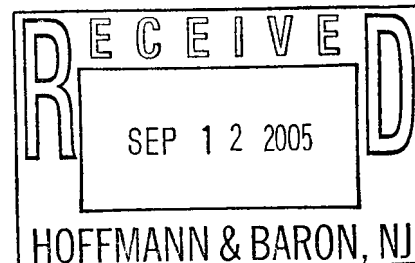
dkf(1199-0014)
-0074)
-0032)

SEPTEMBER 09, 2005

PTAS

500049220A***500049220A***

JAMIE M. LARMANN
6900 JERICHO TURNPIKE
HOFFMANN & BARON, LLP
SYOSSET, NY 11791-4407



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/09/2005

REEL/FRAME: 016515/0096
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOSMOS PHARMA

DOC DATE: 09/01/2005

ASSIGNEE:

1199.13P
MONOSOLRX, LLC
6560 MELTON ROAD
PORTAGE, INDIANA 46368

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

016515/0096 PAGE 2

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0322882

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

09/09/2005
500049220

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Kosmos Pharma	09/01/2005

RECEIVING PARTY DATA

Name:	MonoSolRx, LLC
Street Address:	6560 Melton Road
City:	Portage
State/Country:	INDIANA
Postal Code:	46368

PROPERTY NUMBERS Total: 3

Property Type	Number
Application Number:	60397703
Application Number:	10521823
PCT Number:	US0322882

CORRESPONDENCE DATA

Fax Number: (973)331-1717

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 973-331-1700

Email: jlarman@hoffmannbaron.com

Correspondent Name: Jamie M. Larmann

Address Line 1: 6900 Jericho Turnpike

Address Line 2: Hoffmann & Baron, LLP

Address Line 4: Syosset, NEW YORK 11791-4407

NAME OF SUBMITTER:

Jamie M. Larmann

Total Attachments: 3

source=Assignment from Kosmos to MonoSol#page1.tif

source=Assignment from Kosmos to MonoSol#page2.tif

CH \$120.00 60397703

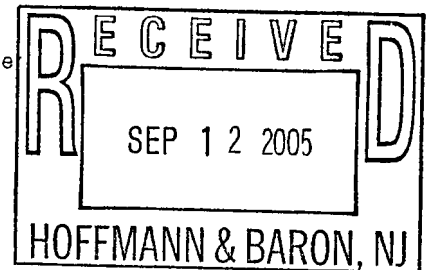
source=Assignment from Kosmos to MonoSol#page3.tif

Facsimile Transmission

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From: Name: USPTO ASSIGNMENT DIVISION
Fax Number:
Voice Phone: 703-308-9723

To: Name: SUBMITTER
Company: Faxed to Submitter's Fax Number
Fax Number: 19733311717
Voice Phone:



Fax Notes:

Pg#	Description
1	Cover Page
2	185.TXT
4	Document 1, Batch 525424

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Date and time of transmission: Friday, September 09, 2005 8:53:04 PM
Number of pages including this cover sheet: 05

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The coversheet of the assignment is displayed below:

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><tr><th>Name</th><th>Execution Date</th></tr><tr><td>Kosmos Pharma</td><td>09/01/2005</td></tr></table>	Name	Execution Date	Kosmos Pharma	09/01/2005							
Name	Execution Date										
Kosmos Pharma	09/01/2005										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>MonoSolRx, LLC</td></tr><tr><td>Street Address:</td><td>6560 Melton Road</td></tr><tr><td>City:</td><td>Portage</td></tr><tr><td>State/Country:</td><td>INDIANA</td></tr><tr><td>Postal Code:</td><td>46368</td></tr></table>	Name:	MonoSolRx, LLC	Street Address:	6560 Melton Road	City:	Portage	State/Country:	INDIANA	Postal Code:	46368	
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Application Number:	60397703										
Application Number:	10521823										
PCT Number:	US0322882										
CORRESPONDENCE DATA											
Fax Number: (973)331-1717											
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.											
Phone: 973-331-1700											
Email: jlarman@hoffmannbaron.com											

Correspondent Name: Jamie M. Larmann Address Line 1: 6900 Jericho Turnpike Address Line 2: Hoffmann & Baron, LLP Address Line 4: Syosset, NEW YORK 11791-4407	
NAME OF SUBMITTER:	Jamie M. Larmann
Signature:	/Jamie M. Larmann/
Date:	09/09/2005
Total Attachments: 3 source=Assignment from Kosmos to MonoSol#page1.tif source=Assignment from Kosmos to MonoSol#page2.tif source=Assignment from Kosmos to MonoSol#page3.tif	
RECEIPT INFORMATION EPAS ID: PAT52407 Receipt Date: 09/09/2005 Fee Amount: \$120	

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Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☒ PCT Application
By: ☐ Inventors or ☒ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Kosmos Pharma
Name

1142 Walker Road
Address

Great Falls, Virginia 22066

USA
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688

Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

MonoSolRx, LLC
(Type or print name of ASSIGNEE)

6560 Melton Road
Address

Portage, Indiana 46368

USA
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002 and U.S. application Serial No. 10/521,823 filed on July 21, 2005
(e) ☒ International application No. PCT/US03/22882
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked

Date:

X 9/1/05
Date of signing

X

Joseph Fulsz

Title: VI

For Kosmos Pharma

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

not

STATE OF
SS
COUNTY OF

On this day of , , before me personally appeared Joseph Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

:NICHOLE E. MARTIAK COMP. 1:6900 JERICHO TURNPIKE

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 15, 2007

PTAS



500335198A

NICHOLE E. MARTIAK
6900 JERICHO TURNPIKE
HOFFMANN & BARON, LLP
SYOSSET, NY 11791UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/15/2007

REEL/FRAME: 019698/0054

NUMBER OF PAGES: 9

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE THE NAME OF THE ASSIGNEE TO MONOSOL RX, LLC PREVIOUSLY RECORDED ON REEL 016515 FRAME 0096. ASSIGNOR(S) HEREBY CONFIRMS THE CORRECT SPELLING OF THE ASSIGNEE IS MONOSOL RX, LLC.

DOCKET NUMBER: 1199-13 PCT/US (0074)

ASSIGNOR:

KOSMOS PHARMA

DOC DATE: 09/01/2005

ASSIGNEE:

MONOSOL RX, LLC
6560 MELTON ROAD
PORTAGE, INDIANA 46368

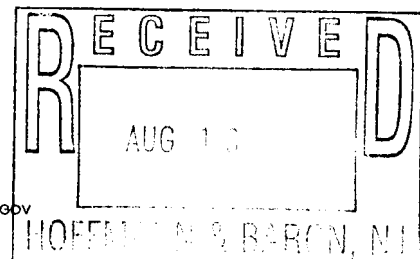
SERIAL NUMBER: 10521823

FILING DATE: 11/07/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM



USPTO

8/16/2007 9:13:01 AM PAGE 3/005 Fax Server

D:\NICHOLE E. MARTIAK COMP. Y:6900 JERICO TURNPIKE

019698/0054 PAGE 2

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

PAULA MCCRAY-STANLEY, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

D:\NICHOLE E. MARTIAK COMP. Y:6900 JERICHO TURNPIKE

PATENT ASSIGNMENT

Electronic Version v1.1

08/15/2007

Stylesheet Version v1.1

500335198

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the the name of the Assignee to MonoSol Rx, LLC previously recorded on Reel 016515 Frame 0096. Assignor(s) hereby confirms the correct spelling of the Assignee is MonoSol Rx, LLC.
CONVEYING PARTY DATA	
Name	Execution Date
Kosmos Pharma	09/01/2005
RECEIVING PARTY DATA	
Name:	MonoSol Rx, LLC
Street Address:	6560 Melton Road
City:	Portage
State/Country:	INDIANA
Postal Code:	46368
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	10521823
Application Number:	60397703
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(973) 331-1700
Email:	nmartiak@hoffmannbaron.com
Correspondent Name:	Nichole E. Martiak
Address Line 1:	6900 Jericho Turnpike
Address Line 2:	HOFFMANN & BARON, LLP
Address Line 4:	Syosset, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	1199-13 PCT/US (0074)
NAME OF SUBMITTER:	Nichole E. Martiak
Total Attachments: 9	

CH \$80.00 10521823

D:\NICHOLE E. MARTIAK COMP. Y:6900 JERICO TURNPIKE

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Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

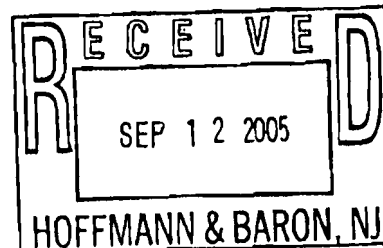
dkf(1199-0014)
-0074)
-0032)

SEPTEMBER 09, 2005

PTAS

500049220A***500049220A***

JAMIE M. LARMANN
6900 JERICHO TURNPIKE
HOFFMANN & BARON, LLP
SYOSSET, NY 11791-4407



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RECORDATION DATE: 09/09/2005

REEL/FRAME: 016515/0096
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOSMOS PHARMA

DOC DATE: 09/01/2005

ASSIGNEE:

~~MONOSOLRX, LLC~~
6560 MELTON ROAD
PORTAGE, INDIANA 46368

1199-13P *monoSol Rx, LLC* *(nen)* *7/16/2007*
Reg# 55,832

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

016515/0096 PAGE 2

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0322882

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

09/09/2005
500049220

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Kosmos Pharma	09/01/2005
RECEIVING PARTY DATA	
Name:	MonoSol Rx, LLC MonoSol Rx, LLC (NEW) 7/10/2007
Street Address:	6560 Melton Road Reg # 55,882
City:	Portage
State/Country:	INDIANA
Postal Code:	48368
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	60397703
Application Number:	10521823
PCT Number:	US0322882
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	973-331-1700
Email:	jlarman@hoffmannbaron.com
Correspondent Name:	Jamie M. Larmann
Address Line 1:	6900 Jericho Turnpike
Address Line 2:	Hoffmann & Baron, LLP
Address Line 4:	Syosset, NEW YORK 11791-4407
NAME OF SUBMITTER:	Jamie M. Larmann
Total Attachments: 3 source=Assignment from Kosmos to MonoSol#page1.tif source=Assignment from Kosmos to MonoSol#page2.tif	

CH \$120.00 60397703

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PAGE 005/005

Fax Server

source=Assignment from Kosmos to MonoSol#page3.tif

Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☒ PCT Application
By: ☐ Inventors or ☒ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Kosmos Pharma
Name

1142 Walker Road
Address

Great Falls, Virginia 22066

USA
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688
Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

~~MonoSol Rx, LLC~~ MonoSol Rx, LLC
(Type or print name of ASSIGNEE)

Mon 7/16/2007
Reg # 55,832

6560 Melton Road
Address

Portage, Indiana 46368

USA
Nationality

Attorney's Docket No.: 1122-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☒ PCT Application
By: ☐ Inventors or ☒ Patent Owners

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ASSIGNORS: (Inventor(s) or person(s) or entity(ies) who own the invention)

Koemos Pharma
Name

1142 Walker Road
Address

Great Falls, Virginia 22066

USA
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688
Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

MonoSol Rx, LLC monoSol Rx, LLC
(Type or print name of ASSIGNEE)

Rev'd 7/16/2007
Reg # 55,832

6560 Melton Road
Address

Portage, Indiana 46368

USA
Nationality

JP
8/1/07

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002 and U.S. application Serial No. 10/521,823 filed on July 21, 2005
(e) ☒ International application No. PCT/US03/22882
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

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ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:

X

9/1/05
Date of signing

X

Joseph Fulsz

Title:

For Kosmos Pharma

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

NIR

STATE OF
SS
COUNTY OF

On this day of , before me personally appeared Joseph Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public